



190151/P10 19 DECP 2001

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-190 (REV 12-29-93)		ATTORNEY'S DOCKET NUMBER 119464
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/FR00/01692	INTERNATIONAL FILING DATE 20 June 2000 (20-06-00)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/019161
TITLE OF INVENTION HYDROPHOBIC CATIONIC DISPERSIONS STABILIZED BY MALEMIDE COPOLYMERS OF LOW MOLECULAR MASS, FOR THE SIZING OF PAPER		
APPLICANT(S) FOR DO/EO/US BETREMIEUX Isabelle, DUMOUSSEAUX Christophe, VERGE Christophe, FERET Bruno, FLAT Jean-Jacques		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p>		
Translation of Amendments to claims under PCT Article 19 are enclosed as AMENDED SHEET pages 21 to 23. All of the claims are being amended via enclosed preliminary Amendment.		

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10/019161		PCT/FR00/01692																
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00</p>																		
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840																		
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>X \$78.00</td> </tr> <tr> <td colspan="3"></td> <td>+ \$260.00</td> </tr> </tbody> </table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =	0	X \$18.00	Independent claims	1 - 3 =	0	X \$78.00				+ \$260.00
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TOTAL OF ABOVE CALCULATIONS = \$																		
<p>Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">SUBTOTAL = \$</th> </tr> </thead> <tbody> <tr> <td colspan="2"> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> </td> </tr> <tr> <td colspan="2" style="text-align: center;">TOTAL NATIONAL FEE = \$ 840</td> </tr> <tr> <td colspan="2"> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> </td> </tr> <tr> <td colspan="2" style="text-align: center;">TOTAL FEES ENCLOSED = \$ 840</td> </tr> <tr> <td colspan="2"> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Amount to be refunded:</th> <th>\$</th> </tr> </thead> <tbody> <tr> <td>charged:</td> <td>\$</td> </tr> </tbody> </table> </td> </tr> </tbody> </table>			SUBTOTAL = \$		<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		TOTAL NATIONAL FEE = \$ 840		<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>		TOTAL FEES ENCLOSED = \$ 840		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Amount to be refunded:</th> <th>\$</th> </tr> </thead> <tbody> <tr> <td>charged:</td> <td>\$</td> </tr> </tbody> </table>		Amount to be refunded:	\$	charged:	\$
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<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>501275</u> in the amount of \$ <u>840</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>501275</u>. A duplicate copy of this sheet is enclosed.</p>																		
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																		
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Michael B. Fein Cozen O'Connor 1900 Market Street Philadelphia, PA 19103</p>																		
<p>SIGNATURE: </p> <p>NAME: Michael B. Fein</p> <p>NAME: 25,333</p> <p>REGISTRATION NUMBER</p>																		

10/019161
531 Rec'd PCT/T 19 DEC 2001

Express Mail No. EL147521846US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE:

APPLICATION OF

Betremieux et al.

TITLE

HYDROPHOBIC CATIONIC DISPERSIONS
STABILIZED BY MALEIMIDE COPOLYMERS
OF LOW MOLECULAR MASS, FOR THE
SIZING OF PAPER

ATTORNEY DOCKET NO.

119464

To: Box PATENT APPLICATION
Assistant Commissioner for Patents
2900 Crystal Drive
Arlington, VA 22202-3513

EXPRESS MAIL CERTIFICATE

Express Mail Mailing Label No. EL147521846US
Date of Deposit: December 19, 2001

I hereby certify that the following correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents.

Transmittal Letter to the U.S. Designated/Elected Office in duplicate
Specification & Claims - No. of pages 27
IDS with enclosures (2)
Preliminary Amendment
Certificate of Express Mail

COZEN O'CONNOR

BY: Michael B. Fein
Michael B. Fein
Reg. No. 25,333

MBF/lh

PATENT APPLICATION SERIAL NO. 10-09161

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
Fee Record Sheet

01/08/2002 MNNGUYEN 00000145 501275 10019161

01 FC:970 890.00 CH

PTO-1556
(5/87)